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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/874,563	06/05/2001	John Atcheson	REALNET.054C1C1	6917

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SCHWABE, WILLIAMSON & WYATT, P.C.
PACWEST CENTER, SUITES 1600-1900
1211 SW FIFTH AVENUE
PORTLAND, OR 97204

EXAMINER

HAYES, JOHN W

ART UNIT	PAPER NUMBER
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3621

DATE MAILED: 10/18/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/874,563

Applicant(s)

ATCHESON ET AL.

ST

Examiner

John W Hayes

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 August 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7,9-18,20,25-28,30 and 36-44 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 11-14 and 38 is/are allowed.
- 6) ☒ Claim(s) 1-7,9,10,15-18,20,25-28,30,36,37 and 42-44 is/are rejected.
- 7) ☐ Claim(s) 39-41 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

Status of Claims

1. Applicant has amended claims 1, 4, 9, 11, 14-15, 17, 20, 25, 30, 42-44 and canceled claims 8, 19, 21-24, 29 and 31-35 in the amendment filed 17 August 2004. Thus, claims 1-7, 9-18, 20, 25-28, 30 and 36-44 remain pending and are presented for examination.

Prosecution Reopened

2. Based on the newly discovered reference cited herein, examiner has reopened prosecution.

Terminal Disclaimer

3. The terminal disclaimer filed on 11 November 2003 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of U.S. Patent No. 5,583,763 has been reviewed and is accepted. The terminal disclaimer has been recorded.

Claim Objections

4. Claims 39-41 depend upon claim 21 which has been canceled. For purposes of this Office Action, examiner assumes that claims 39-41 depend upon claim 14.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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6. Claims 1-7, 9-10, 15-18, 20, 25-28, 30, 36-37 and 42-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nordgren, Layne "Taste Mate Video Selection System" [hereinafter referred to as Nordgren] in view of Hey, U.S. Patent No. 4,996,642.

As per Claims 1 and 4-7, Nordgren discloses a method for outputting recommended preferences to be executed on a computer system, wherein the computer system includes a processor, a database, a first input device and an output device, and wherein the database includes a plurality of datafiles each containing a plurality of predetermined preferences, the method comprising:

- accepting signals from the first input device to Indicate a plurality of user preferences (paragraphs 6 and 8);
- comparing at least a subset of the user preferences against the plurality of datafiles in the database to identify matching datafiles, each matching datafile containing preferences matching at least a threshold number of the indicated user preferences (paragraphs 6 and 8);
- selecting preferences from the identified datafiles, wherein the selected preferences do not match the user preferences (paragraphs 6 and 8); and
- outputting, via the output device, the selected preferences (paragraphs 6 and 8).

Nordgren discloses comparing a users preferred or favorite movies with that of other users who have similar movie tastes (the threshold number of matches being the same favorite movies between different users) and provides a recommended list of movies to the user. Nordgren does not explicitly teach selecting preferences that do not match the user preferences, however this would have been obvious to one having ordinary skill in the art at the time of applicants invention in view of the teachings of Nordgren. The purpose of the product disclosed by Nordgren is to recommend a list of movies that might be enjoyable to the user, but unmatched from the original list of favorites that were selected by the user (In other words, movies that the user has not viewed) based on similar tastes of other users. The user in Nordgren inputs a plurality of user preferences (list of favorite movies) and this is compared with other users having similar tastes such as, for example, the same movie favorites. Thus, one having ordinary

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skill in the art can see that Nordgren provides recommended movie selections wherein the user preferences are unmatched.

Hey teaches a system and method for recommending items, including movies and music, to a selected user from a database of items sampled by other user and not the selected user. Hey further teaches that the system includes a keyboard input, display, processing means and a database that stores files indicating each user's sampled items and their rating for the sampled item. The database is searched to match the selected user's sampled items with those of the other users. After matching and additional processing, other users files are identified as "recommending users" due to the degree of agreement between the identified files and the selected user's file. From the recommending users' files Hey determines and ranks non-matching items and presents the list to the selected user. Hey also teaches that the system can receive input or provide output to a remote user. See the entire document of Hey.

Hey further teaches that in determining the "agreement scalar" that is used to identify the recommending users, the number of items sampled by both members is considered in the calculation of the agreement scalar. Hey also teaches that "it is evident that the greater the number of items that the users have sampled, the more accurate the agreement scalar should be for each of the users with which the selected user is paired". As Hey is obviously interested in providing accurate recommendations of items, as Hey teaches that low number of items sampled in common decreases accuracy, and as thresholding is a well known technique for eliminating conditions that do not warrant consideration, it would have been obvious to those of ordinary skill in the art to modify the teachings Nordgren to include well known thresholding as suggested by Hey in order to increase accuracy of recommendations and to save processing time on user files that would obviously yield unacceptable results. With regard to the number of items in the file and the threshold number, these limitations would have been an obvious matter of design optimization to those of ordinary skill in the art for the accuracy desired and the storage space available.

As per Claims 2-3, Nordgren further discloses identifying specific movies, but fails to explicitly disclose artists names. Hey discloses wherein the user profile includes rating information for items that

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the user has sampled and further discloses that the items are identified by title (Table 1). Hey, however, fails to explicitly disclose wherein the user profile indicates an artist's name. Examiner submits, however, that it is well known that an object such as a movie or song would be identified by either the title or the artists name and it would have been obvious to one having ordinary skill in the art to identify the object using any known identifier. This would provide the benefit of identifying an object by any number of identifiers known to the user.

As per **Claims 9-10 and 36-37**, Nordgren discloses a method for outputting recommended preferences to be executed on a computer system, wherein the computer system includes a processor, a database, a first input device and an output device, and wherein the database includes a plurality of datafiles each containing a plurality of predetermined preferences, the method comprising:

- storing a plurality of associated selections in a database (paragraphs 6 and 8);
- accepting signals from the first input device to indicate a plurality of user preferred selections (paragraphs 6 and 8);
- determining that a number of the preferred selections match with the plurality of associated selections in the database (paragraphs 6 and 8);
- determining a number of unmatched associated selections in the database (paragraphs 6 and 8); and
- outputting, via the output device, the unmatched associated selections (paragraphs 6 and 8).

Nordgren discloses comparing a users preferred or favorite movies with that of other users who have similar movie tastes (the threshold number of preferences being the same favorite movies between different users) and provides a recommended list of movies to the user. Nordgren does not explicitly teach determining a number of unmatched selections in the database, however this would have been obvious to one having ordinary skill in the art at the time of applicants invention in view of the teachings of Nordgren. The purpose of the product disclosed by Nordgren is to recommend a list of movies that might be enjoyable to the user, but unmatched from the original list of favorites that were selected by the user (In other words, movies that the user has not viewed) based on similar tastes of other users. The user in

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Nordgren inputs a plurality of user preferred selections (list of favorite movies) and this is compared with other users having similar tastes such as, for example, the same movie favorites. Thus, one having ordinary skill in the art can see that Nordgren provides recommended movie selections wherein the user preferred selections are unmatched.

Hey teaches a system and method for recommending items, including movies and music, to a selected user from a database of items sampled by other user and not the selected user. Hey further teaches that the system includes a keyboard input, display, processing means and a database that stores files indicating each user's sampled items and their rating for the sampled item. The database is searched to match the selected user's sampled items with those of the other users. After matching and additional processing, other users files are identified as "recommending users" due to the degree of agreement between the identified files and the selected user's file. From the recommending users' files Hey determines and ranks non-matching items and presents the list to the selected user. Hey also teaches that the system can receive input or provide output to a remote user. See the entire document of Hey.

Hey further teaches that in determining the "agreement scalar" that is used to identify the recommending users, the number of items sampled by both members is considered in the calculation of the agreement scalar. Hey also teaches that "it is evident that the greater the number of items that the users have sampled, the more accurate the agreement scalar should be for each of the users with which the selected user is paired". As Hey is obviously interested in providing accurate recommendations of items, as Hey teaches that low number of items sampled in common decreases accuracy, and as thresholding is a well known technique for eliminating conditions that do not warrant consideration, it would have been obvious to those of ordinary skill in the art to modify the teachings Nordgren to include well known thresholding as suggested by Hey in order to increase accuracy of recommendations and to save processing time on user files that would obviously yield unacceptable results.

As per Claims 15-18, 20, 25-28, 30 and 42-44, Nordgren discloses a multi-user computer system that provides user access to a database of objects, a method of recommending objects to a user, the method comprising;

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- identifying on a remote computer, a first set of objects determined to be of interest to a first user; the first set of objects identified from a plurality of objects determined to be of interest to a community of users and represented by one or more data structures (paragraphs 6 and 8);
- using a processor to access the one or more data structures to identify at least one set of objects having at least a threshold of similarities in common with the first set of objects (paragraphs 6 and 8);
- generating a combined set of objects from the identified at least one sets of objects (paragraphs 6 and 8); and
- transmitting to the user computer the combined set of objects (paragraphs 6 and 8)

Nordgren discloses comparing a users preferred or favorite movies with that of other users who have similar movie tastes (the threshold of similarities in common being the same favorite movies between different users) and provides a recommended list of movies to the user.

Hey teaches a system and method for recommending items, including movies and music, to a selected user from a database of items sampled by other user and not the selected user. Hey further teaches that the system includes a keyboard input, display, processing means and a database that stores files indicating each user's sampled items and their rating for the sampled item. The database is searched to match the selected user's sampled items with those of the other users. After matching and additional processing, other users files are identified as "recommending users" due to the degree of agreement or similarity between the identified files and the selected user's file. From the recommending users' files Hey determines and ranks non-matching items and presents the list to the selected user. Hey also teaches that the system can receive input or provide output to a remote user. See the entire document of Hey.

Hey further teaches that in determining the "agreement scalar" that is used to identify the recommending users, the number of items sampled by both members is considered in the calculation of the agreement scalar. Hey also teaches that "it is evident that the greater the number of items that the users have sampled, the more accurate the agreement scalar should be for each of the users with which the selected user is paired". As Hey is obviously interested in providing accurate recommendations of items, as Hey teaches that low number of items sampled in common decreases accuracy, and as

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Allowable Subject Matter

7. Claims 11-14 and 38-41 are allowable over the prior art of record.

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8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John Hayes whose telephone number is (703)306-5447. The examiner can normally be reached Monday through Friday from 5:30 to 3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jim Trammell, can be reached on (703) 305-9768.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

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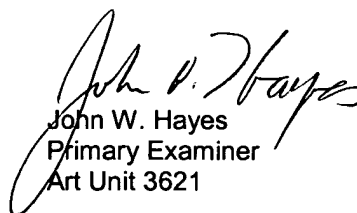
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Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive, Arlington,
VA, 7th floor receptionist.


John W. Hayes
Primary Examiner
Art Unit 3621

October 13, 2004